

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.portofalbany.us
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.portofalbany.us
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	No	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	www.portofalbany.us
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	www.portofalbany.us
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.portofalbany.us

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.portofalbany.us
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.portofalbany.us
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.portofalbany.us
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.portofalbany.us
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Steffens, Georgette	Name	Coffey, Joseph
Chair of the Board	Yes	Chair of the Board	No
If yes, Chairman Designated by.	Elected by Board	If yes, Chairman Designated by.	
Term Start Date	08/08/2012	Term Start Date	10/06/2014
Term Expiration Date	03/27/2015	Term Expiration Date	03/27/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Kinsch, Noelle M	Name	Tagliento, Dominic
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	11/24/2015	Term Start Date	05/07/2010
Term Expiration Date	03/27/2018	Term Expiration Date	05/15/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Andolina, Jeffrey J	Security Officer	Operational	Security	No	No	PT	No	14,932.00	14,932	0	0	0	0	14,932	No	
Bonanni, William	Security Officer	Operational	Security	No	No	PT	No	11,282.00	11,282	0	0	0	0	11,282	No	
Carroll, Jason R	Maintenance Staff	Operational	Maintenance	No	No	FT	No	37,980.80	37,980.8	5,309.14	0	730.4	0	44,020.34	No	
Carroll, Richard K	Security Officer	Operational	Security	No	No	PT	No	21,044.80	21,044.8	0	0	0	0	21,044.8	No	
Chuck, Barry L	Security Officer	Operational	Security	No	No	PT	No	11,008.00	11,008	0	0	0	0	11,008	No	
Cleary, Ashley A	Intern	Administrative and Clerical	Administration			PT	No	3,375.00	3,375	0	0	0	0	3,375	No	
Daly, Megan E	Director of Economic Development & Procurement	Managerial	Administration	No	No	FT	Yes	112,486.92	112,486.92	0	0	4,326.42	0	116,813.34	No	
Davitt, Megan M	Intern	Administrative and Clerical	Administration			PT	No	337.50	337.5	0	0	0	0	337.5	No	
Demarco, Michael T	Security Officer	Operational	Security	No	No	PT	No	120.00	120	0	0	0	0	120	No	
Eddy, Paul M	Maintenance Staff	Operational	Maintenance Dept	No	No	FT	No	35,006.40	35,006.4	3,530.22	0	0	0	38,536.62	No	
Falkenheimer, Maria I	Security Officer	Operational	Security	No	No	PT	No	1,540.00	1,540	0	0	0	0	1,540	No	
Fee, Joseph J	Security Officer	Operational	Security	No	No	PT	No	1,280.00	1,280	0	0	0	0	1,280	No	
Fitzgerald, Annie M	Human Resources Manager & Staff Accountant	Administrative and Clerical	Administrative	No	No	FT	Yes	83,233.80	83,233.8	0	0	1,600.65	0	84,834.45	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Gagnon III, Joseph F	Security Officer	Operational	Security	No	No	PT	No	3,371.00	3,371	0	0	0	0	3,371	No	
Gaspar, Annette T	Administrative Assistant	Administrative and Clerical	Administration	No	No	PT	Yes	31,636.80	29,811.6	0	0	0	0	29,811.6	No	
Harris, Jeffrey	Security Officer	Operational	Security	No	No	PT	No	12,626.00	12,626	0	0	0	0	12,626	No	
Hendrick, Richard, Jr J	Maintenance Staff	Operational	Maintenance	No	No	PT	No	31,200.00	31,200	4,886.25	0	0	0	36,086.25	No	
Hendrick, Richard, Sr J	General Manager	Executive	Administration	No	No	FT	Yes	122,526.56	122,526.56	0	0	2,356.28	0	124,882.84	No	
Hurley, Terrence P	CFO	Managerial	Administration			FT	Yes	0.00	59,844.26	0	0	22,599.72	0	82,443.98	No	
Jordan, Patrick K	General Counsel	Managerial	Administration			FT	Yes	130,000.00	102,500	0	0	0	0	102,500	No	
Kasianczuk, Ihor	Security Officer	Operational	Security	No	No	PT	No	4,890.00	4,890	0	0	0	0	4,890	No	
Keleher, James K	Security Officer	Operational	Security	No	No	PT	No	24,475.00	24,475	0	0	0	0	24,475	No	
Kerr, James W	Security Officer	Operational	Security	No	No	PT	No	13,134.50	13,134.5	0	0	0	0	13,134.5	No	
Kosa, John	Director of Operations & Facilities	Managerial	Administration	No	No	FT	Yes	81,120.52	81,120.52	0	0	1,560.01	0	82,680.53	No	
Lammerts, Christopher	Security Officer	Operational	Security	No	No	PT	No	840.00	840	0	0	0	0	840	No	
Lisosky, Reid A	Maintenance	Operational	Maintenance			FT	No	3,003.00	3,003	0	0	0	0	3,003	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Lourinia, Vito A	Security Officer	Operational	Security	No	No	PT	No	6,030.00	6,030	0	0	0	0	6,030	No	
Marks, Kenneth W	Security Officer	Operational	Security	No	No	PT	No	1,900.00	1,900	0	0	0	0	1,900	No	
McGuinness, Thomas J	Director of Information Technology	Managerial	Administration	No	No	FT	Yes	73,304.40	73,304.4	0	0	0	0	73,304.4	No	
McNally, Jane M	Administrative Assistant to Business Development & Marketing Manager	Administrative and Clerical	Administration			PT	Yes	33,236.32	33,236.32	0	0	639.16	0	33,875.48	No	
Mcgreevy, Maureen	Security Officer	Operational	Security	No	No	PT	No	8,650.00	8,650	0	0	0	0	8,650	No	
Mckenna, Kevin R	Security Officer	Operational	Security	No	No	PT	No	16,358.85	16,358.85	0	0	0	0	16,358.85	No	
Mclean, Clifford	Security Officer	Operational	Security	No	No	PT	No	3,530.00	3,530	0	0	0	0	3,530	No	
Mengal, Robert A	Maintenance Staff	Operational	Maintenance			PT	No	3,058.00	3,058	0	0	0	0	3,058	No	
Nieckarz, John T	Security Officer	Operational	Security	No	No	PT	No	610.00	610	0	0	0	0	610	No	
Nieckarz, Matthew J	Security Officer	Operational	Security	No	No	PT	No	2,060.00	2,060	0	0	0	0	2,060	No	
Nowosielski, Richard B	Security Officer	Operational	Security	No	No	PT	No	24,039.75	24,039.75	0	0	0	0	24,039.75	No	
Olthoff, Donald M	Security Officer	Operational	Security	No	No	PT	No	14,860.00	14,860	0	0	0	0	14,860	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Ostrander, Joshua G	Assistant Foreman	Operational	Maintenance	No	No	FT	No	43,264.00	43,264	7,451.6	0	832	0	51,547.6	No	
Owens, Thomas M	General Counsel	Managerial	Administration			FT	Yes	0.00	2,317.01	0	0	880.46	0	3,197.47	No	
Shell, John W	Security Officer	Operational	Security	No	No	PT	No	13,886.82	13,886.82	0	0	0	0	13,886.82	No	
Smith, Erik J	Director of Internal Controls & Enterprise Analysis	Managerial	Administration	No	No	FT	Yes	118,976.52	118,976.52	0	0	0	0	118,976.52	No	
Spicer, Mark O	Maintenance	Operational	Maintenance			PT	No	0.00	6,210.59	0	0	0	0	6,210.59	No	
Tacy, Benjamin J	Maintenance Staff	Operational	Maintenance	No	No	PT	No	3,410.00	3,410	0	0	0	0	3,410	No	
Teller, James	Security & Threat Assessment Deputy Director	Operational	Security	No	No	PT	No	36,894.00	36,894	0	0	0	0	36,894	No	
Templeton, Hugh	Security Officer	Operational	Security	No	No	PT	No	7,074.00	7,074	0	0	0	0	7,074	No	
Thomas, Owens M	General Counsel	Managerial	Administration			FT	Yes	2,317.01	2,317.01	0	0	880.46	0	3,197.47	No	
Vasil, Anthony J	Business Development & Marketing Manager	Managerial	Administration	No	No	FT	Yes	106,593.24	106,593.24	0	0	0	0	106,593.24	No	
Wickman, Richard P	Security Officer	Operational	Security	No	No	PT	No	1,160.00	1,160	0	0	0	0	1,160	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga- ining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individua l	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensa tion/Allo wances/Ad justments	Total Compens -ation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Wilkinson, Linda	Commission Secretary	Administrative and Clerical	Administra tion	No	No	FT	Yes	42,729.96	42,729.96	0	0	0	0	42,729.96	No	
Williams, David B	Security & Threat Assessment Director	Managerial	Security	No	No	FT	Yes	73,304.40	73,304.4	0	0	1,409.7	0	74,714.1	No	
Williams, James p	Maintenanc e Foreman	Managerial	Maintenanc e	No	No	FT	Yes	62,484.24	62,484.24	0	0	961.32	0	63,445.56	No	
Yaggle, Edward	Security Officer	Operational	Security	No	No	PT	No	420.00	420	0	0	0	0	420	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Kinsch, Noelle M	Board of Directors												X	
Tagliento, Dominic	Board of Directors												X	
Steffens, Georgette	Board of Directors												X	
Coffey, Joseph	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Daly, Megan E	Director of Economic Development & Procurement		X											
Hendrick, Richard, Sr J	General Manager		X		X		X							
Jordan, Patrick K	General Counsel												X	
Smith, Erik J	Director of Internal Controls & Enterprise Analysis												X	
Vasil, Anthony J	Business Development & Marketing Manager												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$2,371,583
Investments	\$3,296,761
Receivables, net	\$435,081
Other assets	\$34,004
Total Current Assets	\$6,137,429
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$594,722
Capital Assets	
Land and other nondepreciable property	\$748,246
Buildings and equipment	\$1,356,136
Infrastructure	\$96,727,231
Accumulated depreciation	\$55,894,354
Net Capital Assets	\$42,937,259
Total Noncurrent Assets	\$43,531,981
Total Assets	\$49,669,410

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$91,594
Pension contribution payable	\$0
Other post-employment benefits	\$77,000
Accrued liabilities	\$255,287
Deferred revenues	\$24,749
Bonds and notes payable	\$452,527
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$901,157

Noncurrent Liabilities

Pension contribution payable	\$634,538
Other post-employment benefits	\$2,238,790
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$1,863,429
Total Noncurrent Liabilities	\$4,736,757

Total Liabilities	\$5,637,914
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Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$40,823,615
Restricted	\$0
Unrestricted	\$3,207,881
Total Net Assets	\$44,031,496

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$1,237,288
Rental & financing income	\$3,373,543
Other operating revenues	\$418,143
Total Operating Revenue	\$5,028,974

Operating Expenses

Salaries and wages	\$1,612,660
Other employee benefits	\$1,001,656
Professional services contracts	\$668,512
Supplies and materials	\$267,119
Depreciation & amortization	\$2,018,463
Other operating expenses	\$429,129
Total Operating Expenses	\$5,997,539

Operating Income (Loss) (\$968,565)

Nonoperating Revenues

Investment earnings	\$29,398
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$29,398

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$49,668
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$643,168
Total Nonoperating Expenses	\$692,836
Income (Loss) Before Contributions	(\$1,632,003)
Capital Contributions	\$610,591
Change in net assets	(\$1,021,412)
Net assets (deficit) beginning of year	\$45,052,908
Other net assets changes	\$0
Net assets (deficit) at end of year	\$44,031,496

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.portofalbany.us
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.portofalbany.us
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments:

Please note the following:Receivables, net include approximately \$114,000 of grants receivable activity.The format of this report does not include a line for deferred outflows of resources related to GASB 68 activity. That amount is reported under other assets.Accounts payable as of 12/31/16 is approximately \$375,000 lower than the previous year due to an invoice for capital project work that was recorded in 2015 and paid in 2016.Other nonoperating expenses include the full amortization of a \$400,000 prepaid expense recorded in 2015.